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Translation

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 014846	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/FR00/01042	International filing date (day/month/year) 20 April 2000 (20.04.00)	Priority date (day/month/year) 07 May 1999 (07.05.99)
International Patent Classification (IPC) or national classification and IPC G06K 17/00		
Applicant REGIE AUTONOME DES TRANSPORTS PARISIENS RATP		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of <u>5</u> sheets, including this cover sheet.  <input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  These annexes consist of a total of _____ sheets.
3. This report contains indications relating to the following items:  I <input checked="" type="checkbox"/> Basis of the report II <input type="checkbox"/> Priority III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability IV <input type="checkbox"/> Lack of unity of invention V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement VI <input type="checkbox"/> Certain documents cited VII <input checked="" type="checkbox"/> Certain defects in the international application VIII <input checked="" type="checkbox"/> Certain observations on the international application

Date of submission of the demand 05 December 2000 (05.12.00)	Date of completion of this report 29 June 2001 (29.06.2001)
Name and mailing address of the IPEA/EP  Facsimile No.	Authorized officer  Telephone No.

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## I. Basis of the report

1. This report has been drawn on the basis of (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

- ☐ the international application as originally filed.
- ☒ the description, pages 1-18, as originally filed,  
 pages \_\_\_\_\_, filed with the demand,  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_,  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.
- ☒ the claims, Nos. 1-19, as originally filed,  
 Nos. \_\_\_\_\_, as amended under Article 19,  
 Nos. \_\_\_\_\_, filed with the demand,  
 Nos. \_\_\_\_\_, filed with the letter of \_\_\_\_\_,  
 Nos. \_\_\_\_\_, filed with the letter of \_\_\_\_\_.
- ☒ the drawings, sheets/fig 1/2-2/2, as originally filed,  
 sheets/fig \_\_\_\_\_, filed with the demand,  
 sheets/fig \_\_\_\_\_, filed with the letter of \_\_\_\_\_,  
 sheets/fig \_\_\_\_\_, filed with the letter of \_\_\_\_\_.

2. The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/fig \_\_\_\_\_

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

4. Additional observations, if necessary:

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**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Claims	5-7, 13	YES
	Claims	1-4, 8-12, 14-19	NO
Inventive step (IS)	Claims		YES
	Claims	5-7, 13	NO
Industrial applicability (IA)	Claims	1-19	YES
	Claims		NO

**2. Citations and explanations**

Documents D1-D4 have not been cited in the international search report. Copies of these documents have been attached.

D1: WO-A-96/42175

D2: DE-A-198 45 590

D3: EP-A-0 698 987

D4: WO-A-98/28903

Reference is also made to the following document:

D5: EP-A-0 670 556 (GEMPLUS CARD INT) 6 September 1995  
(1995-09-06).

Document D1 also describes (the reference signs between parentheses refer to this document, see Figures 1, 3) a system for electronic transactions between a fixed network (22a-22c) and personal portable electronic transaction devices (20a-20c; smart card 34, see page 8, line 13) for carrying out electronic transactions via the contactless fixed network, including means (pay telephone) for downloading random information (versatile information service, see page 2, line 27 and page 3, lines 11-15) from the network into the personal portable electronic

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transaction devices, thus enabling the user to read (display means 35) and/or listen to (loudspeaker 39) this random information using their personal portable electronic transaction device.

Therefore, the subject matter of Claims 1-4, 8-12 and 14-19 is not novel (PCT Article 33(2)).

The features of dependent Claims 5-7 and 13 are either from the cited documents or can be derived therefrom by a person skilled in the art without an inventive step being involved. Therefore, these dependent claims do not appear to contain any additional features which, when combined with the subject matter of any one of the claims upon which they depend, might involve an inventive step (PCT Article 33(3)).

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## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

Contrary to the requirement of PCT Rule 5.1(a)(ii), the relevant prior art disclosed in documents D1-D5 has not been indicated in the description, nor have these documents been cited.

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## VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

In Claim 1, the distinction between random and non-random information is not made clear.